

### Securities Deposit & Withdrawal – Overseas Securities

#### 證券存入及提取 – 海外證券

To: Mont Avenir Capital Limited  
致: 未來金融有限公司

Client Name 客戶名稱: \_\_\_\_\_ Account Number 客戶號碼: \_\_\_\_\_

Settlement Instruction 交收指示	<input type="checkbox"/> Receive below securities for my/our account 將下列證券存入本人/吾等帳戶		<input type="checkbox"/> Deliver below securities from my/our account 將下列證券由本人/吾等帳戶交出				
Trade Date (dd/mm/yy) 交易日期 (日/月/年)	/ /		Settlement Date (dd/mm/yy) 交收日期 (日/月/年)	/ /			
Market 市場			Counterparty BIC 對手銀行識別代碼				
Counterparty Name 對手名稱							
Counterparty Clearing Type 對手結算類型	<input type="checkbox"/> DTC <input type="checkbox"/> CREST <input type="checkbox"/> CDP <input type="checkbox"/> Other _____		Counterparty Code 對手編號				
Securities A/C No. 戶口號碼							
Contact Person 聯絡人員			Contact Number / Email 聯絡電話 / 電郵				
Additional Settlement Information 附加交收資料							
No. 號碼	Stock Code 股票編號	Stock Name 股票名稱	ISIN 國際證券號碼	Trade Ccy 交易貨幣	Quantity 股票數量	For DVP Settlement only 只適用於貸銀對付交收	
						Ccy 貨幣	Amount 金額
1							
2							
3							
4							
5							

(Please fill in the supplementary page if insufficient rows. 如行數不足, 請填寫補充附頁。)

Authorized Signature(s) 客戶簽署	
X	Date (dd/mm/yy): 日期(日/月/年):
(All account holders must sign jointly. 所有帳戶持有人必須簽署)	
Remarks 備註:	
<p>1. By signing this form, the customer confirm that there is no change of beneficial ownership through effecting this instruction. 客戶於簽署本表格後, 即表示客戶確認上述股票的實益擁有權並沒有因執行此指示而更改。</p> <p>2. Settlement of this request will take place on or after the settlement date where applicable. 此指令將於交收日期或之後的適當日期交收。</p> <p>3. Please be reminded that to fill out the S.I. form provided by the counterparty and return it to the counterparty promptly. 請填妥對手參與者提供之交收指示表格, 並立即交回對手參與者。</p> <p>4. The settlement instruction is valid for 10 business days from the above-stated Settlement Date. 本交收指示的有效期為上述指定交收日起計十個工作天。</p> <p>5. All necessary charges arising from this stock transfer will be responsibility by the customer, including but not limited to any costs and expenses of execution, amendment or cancellation of this instruction. 因本交收指示而所牽涉之費用將會由客戶承擔, 當中包括但不限於因執行、修改或取消本指示之任何費用及開支。</p>	

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Received by Mont Avenir	Signature Verified by	Input By	Approved by
Name & Date	Name & Date	Name & Date	Name & Date

# MONT AVENIR

## 未 來 金 融

Mont Avenir Capital Limited 未來金融有限公司 CE No. BOG353

Account Number : 客戶號碼 :				Page No. & Total No. of Pages : 頁數及總頁數 :		Page 第	Of 頁, 共	Pages 頁
No. 號碼	Stock Code 股票編號	Stock Name 股票名稱	Quantity 股票數量	For DVP Settlement only 只適用於貸銀對付交收				
				Ccy 貨幣	Amount 金額			
1								
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Authorized Signature(s) 客戶簽署	
X <small>(All account holders must sign jointly. 所有帳戶持有人必須簽署)</small>	Date (dd/mm/yy): 日期(日/月/年):
	/ /

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Received by Mont Avenir	Signature Verified by	Input By	Approved by
Name & Date	Name & Date	Name & Date	Name & Date