

SECURITIES SETTLEMENT INSTRUCTION FORM 證券交收指示表格

To: Mont Avenir Capital Limited
致: 未來金融有限公司

Client Name 客戶名稱: _____ Account Number 客戶號碼: _____

Please input the following Settlement Instruction (SI/ISI) to effect settlement with details as follow.
請按下列資料辦理證券交收手續。

Settlement Method 交收方法	<input type="checkbox"/> SI 股票交收指示	<input type="checkbox"/> ISI 投資者交收指示		
Instruction Type 指示類別	<input type="checkbox"/> Receipt of Securities 存入證券	<input type="checkbox"/> Delivery of Securities 提取證券		
Counterparty Participant ID 交收對手參與者編號	Name of Counterparty 交收對手名稱	Counterparty Contact Person and Tel No. 交收對手之聯絡人及電話	Settlement Date 交收日期	
Payment Instruction 收款/付款指示: "Free of Payment" will be default payment instruction if neither choice in the right box is selected. 如客戶沒有選擇右方指示,將以"無需付款交收"執行是次指示。 All charges in relation to the settlement instructions will be debited from the client's securities account in Mont Avenir. 相關費用將於客戶之未來金融股票戶口內扣除。		Please select ONE of the choices 請選擇其中一項: <input type="checkbox"/> Free of Payment 無需付款交收 <input type="checkbox"/> Deliver Versus Payment 貨銀對付交收 <input type="checkbox"/> Real Time Delivery/Receipt Versus Payment 即時貨銀對付 For Office Use Only 內部專用: <input type="checkbox"/> C=Broker-Custodian <input type="checkbox"/> I=Investor-Intermediary <input type="checkbox"/> M=Portfolio Movement <input type="checkbox"/> Others:		
Stock Code 股票編號	Stock Name 股票名稱	Quantity 股份數量	Settlement Amount 交收金額	Charges 收費
Remarks 備註: Client's A/C No. with Counterparty 客戶於對方之帳戶號碼				

Client Signature
客戶簽署: _____

Date
日期: _____

For office use only 只供內部使用

Received by Mont Avenir	Approved By	CCASS Input by	CCASS Approved by
Name	Name	Name	Name
Date	Date	Date	Date

Version 202403

MONT AVENIR

未 來 金 融

Mont Avenir Capital Limited 未來金融有限公司 CE No. BOG353

FILLING GUIDE 填寫指引

To deposit securities firm/bank ("Outside Broker") to your account in Mont Avenir Capital Limited ("Mont Avenir"), or withdraw securities from your Mont Avenir account to Outside Broker, customer shall:

- 1) complete the "Securities Settlement Instruction Form" of Mont Avenir;
- 2) arrange the Form to be signed by all the account holders;
- 3) the duly completed and signed Form to the Customer Service Department by post or in person;
- 4) advise Outside Broker of the intended share transfer to/from MONT AVENIR. The settlement instruction for the transfer is:

Hong Kong Securities Custodian Name: HKSCC
CCASS Participant ID: B02186
Participant Name : Mont Avenir Capital Limited
Settlement Department – Tel : (852) 3105 1014

Remarks for deposit of securities:

- please submit a separate Form for each Outside Broker from which you are transferring securities;
- the account name with Outside Broker must be identical to your name of your Mont Avenir account;
- our Company will handle the instructions on the same business day if the instructions are received before 1:00 p.m. Otherwise our Company will handle the instructions on the next business day;
- the transfer of securities will be reflected in your Mont Avenir account once the process is completed;
- you may only sell the securities after the securities has been reflected in your Mont Avenir account.

如果閣下欲透過電子結算系統把證券/投資產品存入其未來金融有限公司(「未來金融」)的戶口，或從該未來金融戶口調撥證券/投資產品至其於其它證券公司/銀行戶口，閣下須依照以下程序辦理有關手續：

- 1) 填妥未來金融之「證券交收指示」表格；
- 2) 所有戶口持有人均須於交收指示內簽署；
- 5) 把填妥及簽署後的交收指示郵寄或親身遞交到本公司；
- 3) 閣下亦須要通知對手證券公司/銀行有關調撥交易資料：

香港股票托管商名稱：香港中央結算有限公司
中央結算系統編號：B02186
參與者名稱：未來金融有限公司
證券交收部 – 電話：(852) 3105 1014

存入證券/投資產品注意事項：

- 如要將多間證券公司/銀行戶口內之證券轉至未來金融，請分別就每一證券公司/銀行戶口填寫一張交收指示；
- 原有證券公司或銀行之戶口登記姓名必須與閣下在未來金融戶口之登記姓名相同；
- 於工作天下午 1:00 前收到的指示，本公司會即日處理；下午 1:00 後收到的通知將被撥入下一個工作天處理。
- 當我們收妥股票後，會盡快將其存入閣下的未來金融戶口；
- 當股票完成轉戶手續後，閣下才可以賣出有關證券。